

NDC implementation

Institutional capacities in developing country governments

Questionnaire

We would like to understand the extent to which developing country governments have the institutional capacities required to implement their Nationally Determined Contributions (NDCs). This information will help us compile guidance on this topic, which we are preparing on behalf of the United Nations Environment Programme.

To this end we have prepared the present questionnaire, which contains seven sets of questions. We would be most grateful if you could take a few minutes to respond to each question. We have sent the questionnaire to a total of eighteen developing country government agencies in Africa, Asia, and Latin America and the Caribbean.

Topic 1: Institutional coordination

Question 1.1: Kindly describe the institutional structures that you have in place (or intend to establish) to ensure appropriate coordination within government, and between government and other entities involved in NDC implementation. These structures could include, for example, a technical coordination unit in one of the ministries, and/or an inter-ministerial committee tasked to agree on strategic issues.

Question 1.2: What problems do you envisage facing, with regard to the institutional structures required to coordinate NDC implementation?

Question 1.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'institutional coordination' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Topic 2: Sectoral integration

Question 2.1: Kindly describe the mechanisms that you have in place (or intend to establish) to ensure that sectoral strategies and plans are consistent with the goals in the NDC. By sectoral we mean, for example, transport development strategies. These mechanisms could include, for example, a mapping of sectoral versus NDC priorities,



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or the introduction of ex-ante assessments of sectoral policies (with a view to ensure that environmental and other concerns, notably those prioritised in the NDC, are taken into consideration).

Question 2.2: What problems do you envisage facing, with regard to the integration of NDC priorities in sectoral strategies and plans?

Question 2.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'sectoral integration' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Topic 3: Training

Question 3.1: Kindly list the areas in which you feel government agency staff will need to be trained, to be able to perform its duties with regard to NDC implementation. Examples include, for example, greenhouse-gas emissions accounting, or vulnerability assessments.

Question 3.2: Kindly indicate the problems you envisage facing with regard to training staff. Problems could relate to lack of funds or limited staff time, for example.

Question 3.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'training' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Topic 4: Stakeholder consultation

Question 4.1: Kindly describe the mechanisms that you have in place (or intend to establish) to consult stakeholders and integrate their views in the NDC implementation plan. These mechanisms could include, for example, sectoral round-tables, or surveys to gauge civil society's opinion on selected issues.

Question 4.2: What problems do you envisage facing, with regard to the consulting stakeholders?

Question 4.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'stakeholder consultation' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Topic 5: Regulatory frameworks

- Question 5.1: Kindly describe any regulatory revisions that you have introduced (or intend to introduce) specifically to support NDC implementation. These revisions could include, for example, changes in framework legislation, or the introduction of issue-specific regulatory requirements.
- Question 5.2: What problems do you envisage facing, with regard to the process of strengthening your regulatory framework?
- Question 5.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'regulatory framework' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Topic 6: Reporting

- Question 6.1: Kindly describe any mechanisms that you have in place (or intend to establish) to report on progress with NDC implementation. These mechanisms could include, for example, improvements in greenhouse-gas emissions data collection procedures, or integration of natural disaster and early warning data into climate change data collection processes.
- Question 6.2: What problems do you envisage facing, with regard to the process of strengthening your reporting capacities?
- Question 6.3: Using a scale from 1 (very limited capacity) to 5 (very good capacity), how would you rank your 'reporting' capacities, in comparison with the capacities you have in the other topics covered in this questionnaire?

Any other issues

- Question 7: In addition to the five issues highlighted in this questionnaire, are there any other institutional capacities in your country that you believe are weak, or missing altogether?